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| Form PTO-1390US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 5-93) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NO. C 2570 PCT/US U.S. APPLICATION NO. (if known sec. 17 CFR 1.5) 10/501490 |
| INTERNATIONAL APPLICATION NO. PCT/EP03/00062 | INTERNATIONAL FILING DATE January 7, 2003 | PRIORITY DATE CLAIMED January 16, 2003 |
| TITLE OF INVENTION ALKYL- AND/OR ALKYLENE OLIGOGLYCOSIDE BETAINE ESTER QUATERNARIES | | |
| APPLICANT(S) FOR DO/EO/US Ansgar Behler and Frank Clasen | | |
| Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information: <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1). <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (EXECUTED) <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input checked="" type="checkbox"/> Other items or information.: International Search Report (With Information Disclosure Citation and References) <p>"Express Mail" mailing label number <u>EV530259659US</u></p> | | |

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| U.S. Application No. (If known see CFR1.30) 10/501490 | | INTERNATIONAL APPLICATION NO. PCT/EP03/00062 | | ATTORNEY'S DOCKET NUMBER C 2570 PCT/US | | | |
| <div>17. ■ The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$920.00 International preliminary examination fee paid to USPTO (37CFR 1.482) \$750.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37CFR 1.445(a)(2))..... \$770.00 Neither international preliminary examination fee (37CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1080.00 International preliminary examination fee paid to USPTO (37CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4))..... \$140.00 ENTER APPROPRIATE BASIC FEE AMOUNT=</div> | | | | CALCULATIONS | | PTO USE ONLY | |
| | | | | \$ 920 | | 00 | |
| | | | | \$ | | | |
| | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than ~ 20 ~ 30 months from the earliest claimed priority date 37 (CFR 1.492(e)). | | | | \$ | | | |
| Claims | | Number filed | | Number Extra | | Rate | |
| Total Claims | | 12- 20 = | | 0 | | X 18.00 | |
| Independent Claims | | 2- 3 = | | 0 | | X 86.00 | |
| Multiple dependent claims (s)(if applicable) 0 | | | | + 280.00 | | \$ 0 00 | |
| TOTAL OF ABOVE CALCULATIONS | | | | = | | \$ 920 00 | |
| Reduction by 2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | | | |
| SUBTOTAL= | | | | \$ | | 920 00 | |
| Processing fee of \$130.00 for furnishing the English translation later the ~ 20 ~ 30 months from the earliest claimed priority date (37CFR 1.492(f)). | | | | \$ | | | |
| TOTAL NATIONAL FEE= | | | | \$ | | 920 00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | | | |
| TOTAL FEES ENCLOSED= | | | | \$ | | 920 00 | |
| | | | | Amount to be: refunded | | \$----- | |
| | | | | charged | | 920.00 | |
| <div>a. ~ A check in the amount of \$_____ to cover the above fees is enclosed. b. ■ Please charge my Deposit Account No. <u>50-1177</u> in the amount of \$ 920.00 to cover the above fees. A triplicate copy of this sheet is enclosed. Order No. <u>04-0332</u>. c. ■ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1177</u>. A triplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</div> | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer Number 23657 | | | | | | | |
| <div>SIGNATURE _____ Steven J. Trzaska NAME- ATTORNEY FOR APPLICANT 36,296 REGISTRATION NUMBER</div> | | | | | | | |